OIPE
MAY 0 9 2002
PATENT & TRADEMARK OFFICE

EXPRESS MAIL NO. EL897865392US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Alexander Gaiger et al.
Application No.	:	09/938,864
Filed	:	August 24, 2001
For	:	COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY
	Docket No.	: 210121.465C5
	Date	: May 9, 2002

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated February 27, 2002, please find enclosed the following documents:

__X__ Fee
__X__ Oath/Declaration
__X__ Copy of Notice to File Missing Parts

Respectfully submitted,
Alexander Gaiger et al.
Seed Intellectual Property Law Group PLLC

Jeffrey Hundley, Ph.D.
Registration No. 42,676

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EXPRESS MAIL NO. EL897865392US

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → [+]

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/938,864
Filing Date	August 24, 2001
First Named Inventor	Alexander Gaiger
Group Art Unit	1644
Examiner Name	
Attorney Docket No.	210121.465C5

ENCLOSURES (*check all that apply*)

- [X] Fee Transmittal Form
  - [X] Fee Attached
- [ ] Amendment/Response
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
- [X] Extension of Time Request
- [ ] Express Abandonment Request
- [ ] Information Disclosure Statement; Form PTO-1449
- [ ] Cited References
- [ ] Certified Copy of Priority Document(s)
- [X] Response to Missing Parts under 37 C.F.R. 1.52 or 1.53
- [ ] Response to Missing Parts/Incomplete Application
- [ ] Assignment Papers (*for an Application*)
- [ ] Drawing(s)
- [ ] Request for Corrected Filing Receipt
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [X] Power of Attorney, Revocation, Change of Correspondence Address
- [X] Declaration
- [X] Statement under 37 CFR 3.73(b)
- [ ] Terminal Disclaimer
- [ ] Small Entity Statement
- [ ] Request for Refund
- [ ] CD(s), Number of CD(s) _____
- [ ] After Allowance Communication to Group
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- [ ] Proprietary Information
- [ ] Status Letter
- [X] Return Receipt Postcard
- [X] Additional Enclosure(s) *(please identify below)*:

Copy of Notice to File Missing Parts

Copy of Assignment

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Jeffrey Hundley, Ph.D.	00500 PATENT TRADEMARK OFFICE
Signature		
Date	5/9/02	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name		
Signature		Date:

OIPE JC176
MAY 0 9 2002
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05-13-02

EXPRESS MAIL NO. EL897865392US

PTO/SB/17 (11-00)
Approved for use through 10/31/2002, OMB 0651-0032

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	($)	1,962.00

Complete if Known	
Application Number	09/938,864
Filing Date	August 24, 2001
First Named Inventor	Alexander Gaiger
Examiner Name	1644
Group Art Unit	
Attorney Docket No.	210121.465C5

METHOD OF PAYMENT

- [X] Payment Enclosed:
  - [X] Check [ ] Credit card [ ] Money Order [ ] Other
- [ ] Deposit Account:
  - Deposit Account Number: 19-1090
  - Deposit Account Name: Seed Intellectual Property Law Group PLLC

The Commissioner is authorized to *(check all that apply)*

- [ ] Charge fee(s) indicated below
- [X] Credit any overpayments
- [ ] Charge any additional fee(s) during the pendency of this application
- [ ] Charge fee(s) indicated below, **except for the filing fee**
- [X] Charge any deficiencies

to the above-identified deposit account.

- [ ] Applicant claims small entity status. See 37 CFR 1.27.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
				SUBTOTAL (1)	($) 740

2. EXTRA CLAIM FEES

			Extra Claims		Fee from below	=	Fee Paid
Total Claims	45	-20** =	25	*	18	=	450
Independent Claims	6	-3** =	3	*	84	=	252
Multiple Dependent				*	280	=	280

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** *Reissue independent claims over original patent*
110	18	210	9	** *Reissue claims in excess of 20 and over original patent*
				SUBTOTAL (2) ($) 982

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid — SUBTOTAL (3) ($) 240

SUBMITTED BY

Name (Print/Type)	Jeffrey Hundley, Ph.D.	Registration No. Attorney/Agent)	42,676
Firm Name/ Address			
Signature		Date	5/9/02

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PATENT TRADEMARK OFFICE

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EXPRESS MAIL NO. EL897865392US

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,864	08/24/2001	Alexander Gaiger	210121.465C5

CONFIRMATION NO. 2131

00500
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC
701 FIFTH AVE
SUITE 6300
SEATTLE, WA 98104-7092

FORMALITIES LETTER

OC000000006954500

RECEIVED
MAR 04 2002
SEED INTELLECTUAL PROPERTY
LAW GROUP PLLC

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  Applicant must submit $ **710** *to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is $960.
  - **$450** for **25** total claims over 20.
  - **$240** for **3** independent claims over 3 .
  - **$270** for multiple dependent claim surcharge.
- The oath or declaration is missing.
  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 1800.**

05/31/2002 MMARMOL 00000012 191090 09938864
01 FC:102 168.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - ATTORNEY/APPLICANT COPY

05/15/2002 RHONDAF1 00000086 09938864
02 FC:101 740.00
03 FC:105 130.00
04 FC:102 232.00
05 FC:103 450.00
06 FC:104 260.00